

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE



Inventor(s): Richard L. Schertz, et al.

Confirmation No.:

Application No.:

Examiner:

Filing Date: Oct. 31, 2001

Group Art Unit:

Title: System and Method of an OS-Integrated Intrusion Detection and Anti-Virus System

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

(X) under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

() under 37 CFR 1.97(c) together with either a:
() Statement under 37 CFR 1.97(e), or
() a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs)

() under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e), and
() a petition under 37 CFR 1.97(d)(2), and
() a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EL350471460US**

Date of Deposit **Oct. 31, 2001**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By Vikki R. Colvin

Typed Name: **Vikki R. Colvin**

Respectfully submitted,

Richard L. Schertz, et al.

By Wei Wei Jeang

Attorney/Agent for Applicant(s)
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Date: **Oct. 31, 2001**

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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
10016864-1

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Richard L. Schertz, et al.

FILING DATE

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GROUP

10/002072
10/31/01

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
1A	6,249,755	June 19, 2001	Yemini et al.	702	183
1B	5,956,481	Sept. 21, 1999	Walsh et al.	395	186
1C	5,949,973	Sept. 7, 1999	Yarom	395	186
1D	5,913,024	June 15, 1999	Green et al.	395	186
1E					
1F					
1G					
1H					
1I					
1J					
1K					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION
						YES
						NO
1L						
1M						
1N						
1O						
1P						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	
1R	
1S	

EXAMINER

DATE CONSIDERED